

PCT/PTO 14 MAR 2005

INVESTOR IN PEOPLE  
The Patent Office

Concept House

Cardiff Road

Newport

South Wales

NP10 8QQ

SEC'D 21 NOV 2003

WIPO

PCT

# **PRIORITY DOCUMENT**

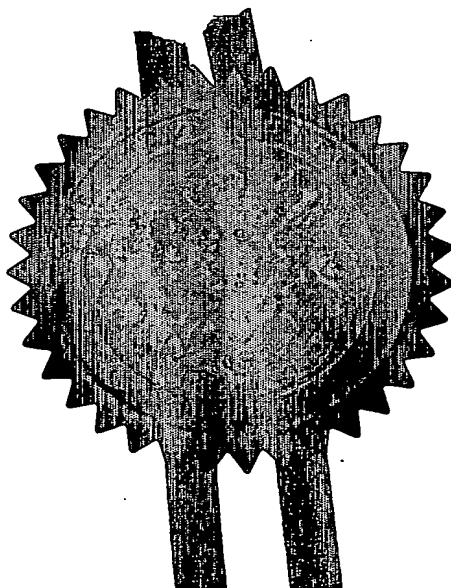
SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

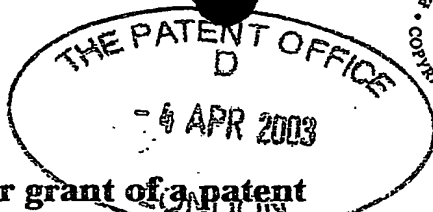


Signed

Dated

6 November 2003

**BEST AVAILABLE COPY**



1/77

# Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road  
Newport  
South Wales  
NP10 8QQ

1. Your reference

P16007GB

07APR03 E797920-1 D02246  
P01/7700 0.00 0307823.5

2. Patent application number

(The Patent Office will fill in this part)

0307823.5

3. Full name, address and postcode of the or of each applicant (underline all surnames)

ARM Limited  
110 Fulbourn Road  
Cherry Hinton  
Cambridge  
CB1 9NJ, United Kingdom

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

74 98124002  
United Kingdom

4. Title of the invention

Processing Activity Masking in a Data Processing System

5. Name of your agent (if you have one)

D Young & Co

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

21 New Fetter Lane  
London  
EC4A 1DA

Patents ADP number (if you know it)

59006 ✓

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country	Priority application number (if you know it)	Date of filing (day / month / year)
UK	0229068.2	12/12/02
UK	0302646.5	05/02/03
UK	0302650.7	05/02/03

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application	Date of filing (day / month / year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

Yes

- a) any applicant named in part 3 is not an inventor, or
  - b) there is an inventor who is not named as an applicant; or
  - c) any named applicant is a corporate body.
- See note (d))

# Patents Form 1/77

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form 0

Description 15

Claim(s) 3

Abstract 1

Drawing(s) 11

10. If you are also filing any of the following, state how many against each item.

Priority documents 0

Translations of priority documents 0

Statement of inventorship and right to grant of a patent (Patents Form 7/77) 3

Request for preliminary examination and search (Patents Form 9/77) 1

Request for substantive examination (Patents Form 10/77) 0

Any other documents 0  
(please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature

Date 4 April 2003

D Young & Co (Agents for the Applicants)

12. Name and daytime telephone number of person to contact in the United Kingdom

Nigel Robinson

023 8071 9500

## Warning

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

## Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 08459 500505.
- Write your answers in capital letters using black ink or you may type them.
- If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- Once you have filled in the form you must remember to sign and date it.
- For details of the fee and ways to pay please contact the Patent Office.

PROCESSING ACTIVITY MASKING IN A  
DATA PROCESSING SYSTEM

This invention relates to the field of data processing systems. More particularly, this invention relates to the masking of processing activity within data processing systems, for example, in order to increase security.

It is known to provide data processing systems which manipulate secure data and for which it is desirable to ensure a high degree of security. As an example, it is known to provide smart cards which include a data processing system which manipulates secure data, such as secret cryptographic keys, and this data must be kept secret in order to prevent fraud.

Known ways of attacking the security of such systems include timing analysis and power analysis. By observing the timing behaviour and/or the power consumption behaviour of such a system in response to inputs, information concerning the processing being performed and the data being manipulated can be determined in a way that can compromise security. It is strongly advantageous to provide resistance against such security attacks.

Viewed from one aspect the present invention provides apparatus for processing data, said apparatus comprising:

a result data value generating circuit operable to generate a result data value upon execution of a data processing operation; and

a data processing register to which said result data value is written; wherein at least one data processing operation executed by said result data generating circuit is a conditional write data processing operation for which a result data value is not written to said data processing register when non-write conditions are met; and further comprising

a trash register to which a result data value may be written upon execution of said conditional write data processing operation when said non-write conditions are met.

This invention recognises that there is a characteristic power consumption signature associated with a write to a data processing register and accordingly information concerning the data processing being performed in association with conditional write data processing operations can be externally observed, i.e. information upon whether or not the conditional write did or did not occur. The

invention address this problem by providing a trash register to which a result value (which is preferably the true value) is written when the conditional write data processing operation meets its non-write conditions and a write would not otherwise occur. Accordingly, a write to a register whether the true register or the trash register, always occurs irrespective of whether or not the write conditions or non-write conditions are met and thus the security of this system is enhanced.

The data register to which the write is normally made when the write conditions are met is preferably part of a register bank containing a plurality of such registers. In this circumstance, a common trash register(s) may be used for dummy writes irrespective of how many real data registers are provided within the register bank.

Preferably, the trash register is physically located as part of the register bank so as to avoid leakage of information by observing which part of a circuit is active at any given time.

Whilst the present technique is applicable to a wide variety of systems, such a microprocessor based systems, digital signal processing system and the like, the invention is particularly well suited to systems including a processor core responsive to data processing instructions as these often incorporate conditional write instructions which give rise to the potential vulnerability addressed by this technique.

It will be appreciated that a conditional write operation may be arranged to either occur or not occur when particular conditions are met.

It will be appreciated that the normal technical prejudice in this field is to reduce power consumption as much as possible. Accordingly, it would conventionally be considered that not performing a register write when a conditional write operation did not require one would be an advantageous feature since it would reduce the amount of power consumed. The present technique moves against this technical prejudice in the field by deliberately performing a trash register write and consuming power even though this is not required for the real processing activities of the system.

In preferred embodiments of the invention the trash register activity can be selectively enabled and disabled depending upon a control signal stored in a system configuration register. This allows programmable activity of the trash register activity such that power can be saved by disabling this feature when non secure processing is

taking place and yet security improved when required, such as when handling cryptographic keys, decoding passwords etc.

As mentioned above, whilst the trash register may be physically located within the register bank with the normal data registers, in preferred embodiments the trash register is unmapped to a register number such that it cannot be specified by any program instruction and accordingly is invisible as a register from the programmer's model point of view. The trash register is however visible in the sense that its activity can be enable or disabled in preferred embodiments by a configuration parameter.

Viewed from another aspect the present invention provides a method of processing data, said method comprising the steps of:

generating a result data value upon execution of a data processing operation, at least one data processing operation executed being a conditional write data processing operation, wherein

a result data value is not written to a data processing register when non-write conditions are met but is instead written to a trash register.

Embodiments of the invention will now be described, by way of example only, with reference to the accompanying drawings in which:

Figure 1 schematically illustrates a data processing system operable in a fixed timing mode and a variable timing mode;

Figure 2 schematically illustrates a conditional programming instruction;

Figure 3 is a flow diagram schematically illustrating part of the processing operations performed by an instruction decoder operating in accordance with the present techniques;

Figure 4 schematically illustrates the execution of a conditional branch instruction in a fixed timing mode;

Figure 5 is a diagram schematically illustrating a data processing system including multiple circuit portions which may be selectively enabled to perform required processing operations or dummy processing operations;

Figure 6 schematically illustrates a circuit portion and its associated dummy activity enabling circuit which may be responsive to both required enable signals and random dummy activity enable signals;

Figure 7 schematically illustrates a linear shift back feed register which may be used as a pseudo-random signal generator:

Figure 8 is a flow diagram schematically illustrating control of a circuit portion to perform required processing activity and dummy processing activity;

Figure 9 schematically illustrates a portion of a register bank including multiple data processing registers, multiple dummy registers, multiple shared dummy registers and a non-mapped trash register RT to which a dummy register write is made when a conditional write operation fails its condition codes;

Figure 10 is a flow diagram schematically illustrating a register write controlling circuit which seeks to balance the number of high to low and low to high transitions occurring when a register write occurs;

Figure 11 is a table illustrating the relationships between bit transitions for a particular bit within the data register and three further registers which are configured to balance the high to low and low to high transitions occurring in association with a register writet;

Figure 12 is a flow diagram schematically illustrating control of writing to a trash register on a condition code fail of a write operation;

Figure 13 is a diagram schematically illustrating a system having multiple execution mechanisms for an instruction and pseudo random selection of the execution mechanism employed for at least some instructions; and

Figure 14 is a flow diagram schematically illustrating control of the system of Figure 13.

Figure 1 illustrates a data processing system 2 including a processor core 4, a coprocessor 6 and a memory 8.

In operation, the processor core 4 fetches instructions and data from the memory 8. The instructions are fed to an instruction pipeline 10 where they occupy successive pipeline stages such as, for example, fetch, decode, execute, memory and write back on successive processing cycles. Pipelined processors are in themselves well known as a way of effectively executing a number of program instructions in a partially overlapping fashion in order to improve processor performance.

The data values read from the memory 8 by the processor core 4 are supplied to a register bank 12 from where they may be manipulated under program instruction control using one or more of a multiplier 14, a shifter 16 and an adder 18. Other data manipulating circuits may be provided, such as circuits performing logical operations, such as ANDs, Ors, count leading zeros etc.

Figure 1 also illustrates an instruction decoder 20 within the processor core 4 which is responsive to a program instruction within the instruction pipeline 10 to generate execution control signals that are applied to the various processing elements, such as the register bank 12, the multiplier 14, the shifter 16 and the adder 18 in order to control the data processing operations performed. As an example, the control signals generated by the decoder 20 may cause the appropriate operands to be read from the register bank 12 and supplied and acted upon by the appropriate ones of the multiplier 14, the shifter 16 and the adder 18 so as to generate a result which is written back into the register bank 12.

The coprocessor 6 is a system configuration coprocessor containing a number of configuration registers 22 which may be written under program control to set up configuration controlling parameters. These configuration controlling parameters can specify many aspects of the configuration of the processing system 2, such as for example the endianness and the like. Included within one of these configuration controlling registers 22 is a bit which specifies whether or not the processor core should operate in a fixed timing mode or a variable timing mode. This bit is illustrated as being supplied as an input to the instruction decoder 20, but it will be appreciated that this bit may also be supplied to various other points within the processor core 4 as required to control their behaviour. In dependence upon this fixed/variable bit, the processor core 4 operates in either a fixed timing mode or a variable timing mode. When in the fixed timing mode at least one program instruction which has a variable timing (i.e. takes a variable number of processing cycles to complete) in the variable timing mode, is instead forced to have a fixed timing (e.g. take the maximum possible number of processing cycles to complete) irrespective of whether or not it could have been suppressed in its entirety or completed in less than the maximum number of processing cycles. As the instruction decoder 20 is primarily responsible for decoding the program instructions and instructing the activity of the other elements of the processor core 4, the instruction decoder 20 can take the major role in controlling the processor core 4 to either operate in the fixed timing mode or the variable timing mode. Not all variable timing instruction need be provided with a fixed timing mode type of operation.

It will be appreciated that in the above description a single bit in the configuration controlling register 22 is shown as switching between fixed and variable timing modes. Alternatively, multiple bits within the configuration controlling register



22 may be provided to separately enable and disable the fixed or variable timing behaviour of different types of instruction, such as conditional instruction behaviour, uniform branch behaviour, disabling early terminate, etc.

Figure 2 schematically illustrates a conditional instruction 24. This conditional instruction may be part of an instruction set which includes only some conditional instructions or part of an instruction set, such as the ARM instruction set, which is substantially fully conditional. The condition codes 26 encode a set of processor state conditions in which the associated instruction either will or will not be executed. As an example, the condition codes 26 can be arranged to specify that the instruction 24 will not execute if the condition codes currently set in the system indicate a zero result, a carry has occurred, an overflow has occurred or the like. This type of instruction can be utilised to provide efficient program coding. The fixed/variable bit at least partially suppresses the conditional behaviour in that the instruction will execute irrespective of its condition codes, but may not write its result in a way that has an effect upon the processor state.

Figure 3 is a flow diagram schematically illustrating part of the processing operations performed by the instruction decoder 20. It will be appreciated that Figure 3 illustrates these processing operations as a logical sequence, whereas in practice these processing operations may be performed at least partially in parallel or in a different order.

At step 28, the instruction decoder 20 waits for a new instruction to execute. When a new instruction is received processing proceeds to step 30 at which the condition codes associated with the new instruction are read. At step 32 these condition codes are compared with the currently existing condition codes in the system. These condition codes currently existing in the system are the result of previous processing activity, either in the immediately preceding instruction or in the last instruction which would have updated those condition codes.

At step 34, a check is made for a match between the condition codes 26 of the current instruction being executed and the existing condition codes. If a match does not occur, then processing proceeds to step 36 where execution of the current instruction is started. It will be appreciated that Figure 3 illustrates a system in which execution occurs when a match does not occur, but alternative embodiments could equally well be ones in which execution occurs when a match occurs.

Following step 36, processing proceeds to step 38 where a check is made as to whether or not early termination of the instruction is possible. This early termination may, for example, be because one of the operands has a particular value, such as zero or unity, or on subsequent processing cycles that a particular partial result has been produced. If early termination is possible, then processing proceeds to step 40 where a check is made as to whether or not the processor core 4 is currently operating in the fixed or variable timing mode. If the processor is in the variable timing mode, then processing proceeds to step 42 and the instruction concerned is early terminated with the result being returned as appropriate and processing returns to step 28.

If the determination at step 40 is that the system is in the fixed timing mode, then processing proceeds to step 44 irrespective of the fact that early termination is possible. Step 44, which may also be reached by a determination at step 38 that early termination is not possible, executes the instruction concerned for one processing cycle. In the case of a multicycle processing instruction, such as a multiplication, a divide, an add or a subtraction, these typically take several cycles to execute and so after step 44 processing proceeds to step 46 at which a determination is made as to whether or not the maximum number of cycles associated with that instruction has yet been performed. If the maximum number of cycles has been performed, then the result will have been generated. If early termination was possible and the system was being forced to continue to execute for further processing cycles, then step 46 will still indicate that this forced execution should cease when the maximum possible number of processing cycles for that type of instruction has been reached. If the maximum number of processing cycles has not yet been performed, then processing is returned to step 38.

If the match tested for at step 34 was positive, then processing proceeds to step 48. In this example, the positive detection of a match at step 34 indicates that execution of the particular instruction should be suppressed. Step 48 determines whether or not the system is currently in the forced execution mode. If in the forced execution mode, then processing proceeds to step 50 where a forced dummy execution of the instruction will occur. When dummy execution is performed the result is written to a trash register (see trash register 51 in Figure 1), rather than the destination specified in the instruction itself so as to prevent the state of the system being modified by a program instruction which should not have executed as it should have been suppressed whilst also keeping a substantially unaltered power

consumption. If at step 48 the determination is that the system is not in the forced execution mode but is in the variable timing mode, then processing bypasses step 50 and returns to step 28 with the program instruction being suppressed in the normal way.

5 It will be appreciated that Figure 3 illustrates a generic system in which dummy execution is applied to all condition code failed instructions and all early termination of instructions is suppressed. In practice, it is also possible for these techniques to be applied to a subset of conditional instructions and instructions capable of early termination. The multiple configuration controlling bits mentioned  
10 above could be used to selectively turn on features such as early terminate suppression, but not others, such as dummy execution following a condition code fail.

Figure 4 schematically illustrates the execution of a conditional branch instruction in the fixed timing mode. A sequence of instructions AB are executed until a conditional branch instruction BEQ (branch upon equal) is reached. This  
15 instruction encodes the behaviour that the specified branch will be performed if the flag indicating an equal result from previous processing is set and will be suppressed if this flag is not set. When the condition codes are passed, i.e. a condition code match, then the branch is taken and processing proceeds to instructions X, Y, etc. If the condition codes fail, then instead of being suppressed in its entirety, the BEQ  
20 instruction performs a branch to the immediately following instruction C. This is the same instruction which would have been reached if the BEQ instruction had been suppressed and not executed at all. However, in the fixed timing mode, the BEQ will have executed consuming the same number of processing cycles irrespective of whether or not the condition codes were passed or failed. This helps obscure the  
25 results of data processing operations previously performed from a person trying to gain access to secure data.

Figure 5 schematically illustrates a data processing system 52 in the form of a programmable processor core which responds to program instructions I and manipulates data D. The data processing system 52 includes a register bank 54, a  
30 multiplier 56, a shifter 58, an adder 60, and arithmetic logic unit 62, a load store unit 64, a data pipeline 66, and instruction decoder 68 and a random clock gating circuit 70. A system configuration coprocessor CP15 72 is coupled to the processor core. The system configuration coprocessor 72 includes a system configuration register 74 holding multiple flag values which respectively serve to enable or disable the pseudo

random dummy activity of different circuit portions of the data processing system 52. It will be appreciated that the data processing system 52 will typically include many more circuit elements, but these have been omitted for the sake of clarity from Figure 5.

5 Associated with the multiplier 56 is a dummy activity enable circuit 76 which serves to enable dummy activity in the multiplier 56 as appropriate or alternatively pass the required activity enable signals to activate the multiplier 56 when the program instruction being executed so requires. Similar dummy activity enables circuits 78, 80, 82, 84 are associated with the respective other circuit portions 58, 60, 10 62, 64 previously mentioned.

In operation, instructions to be executed are to be passed to the instruction pipeline 66 and on to the instruction decoder 68 to generate instruction driven enable signals which are applied to respective circuit portions. These enable signal serve to select the data path through the data processing system 52 and to activate the circuit portions concerned to read their inputs, to perform the specified processing and to 15 generate their associated output signals. As an example, a multiplier-accumulate operation might read data values from the register bank 54, apply these to the multiplier 56 and adder 60 and then write back the result to the register bank 54. Thus, the register bank 54, the multiplier 56 and the adder 60 would all be subject to 20 required activity enable signals which both enabled their operation and selected them to form a complete data path. The different circuit portions have different power consumption characteristics and timing characteristics such that external observation could reveal which instruction were being executed by observing such parameters. Accordingly, pseudo random dummy activity of the other circuit portions not required 25 for the instruction being executed is also enabled. Thus, even though the shifter 58 may not being used by the particular multiplier accumulate instruction being executed, it may nevertheless be pseudo randomly enabled such that it will consume power by shifting whatever value is applied to its input. Its output latches will not be enabled to avoid this dummy activity altering the circuit state an undesired way which 30 may interfere with required operation e.g some circuit portions might assume persistence of output values. The dummy activity is enabled for time periods matching the normal operation timings for the circuit portions concerned.

The random clock gating circuit 70 serves to receive a plurality of pseudo random enable signals for different respective circuit portions and gate these for

applying to respective circuit portions under the control of configuration parameters read from the system configuration register 74 within the system configuration coprocessor 72. These configuration flags may indicate that dummy activity should be enabled for the shifter 58, ALU 62 and multiplier 56, but not for the adder 60 or the load store unit 64. The different pseudo random enable signals allow different pseudo random characteristics to be applied in a manner that can match these respective circuit portion concerned. As an example, there may a different minimum enable time associated with the normal timing of the different circuit portions.

At an overall level, it will be seen that the instruction decoder 68 will serve as a required activity enabling circuit which will enable the circuit portions required to perform the data processing operation specified by the instruction currently being executed. superimposed upon this required activity, various dummy activities within the other circuit portions will be enabled/stimulated by the dummy activity control circuitry provided in various places in the rest of the data processing system 52. The dummy activity serves to mask the power consumption and timing characteristics associated with the required activity.

Figure 6 schematically illustrates a circuit portion 86 which may be subject to both a required enable signal en and a dummy enable signal rnd. This circuit portion 86 can be considered as a sequence of latches between which processing logic manipulates data values. When genuine required activity is necessary, all of the latches that provide a data path through the circuit portion 86 are enabled and the required processing will be performed between the input latches and the output latches. When dummy activity is instructed, then only the input latches and the intermediate latches are enabled. Thus, a data path is not provided through the full circuit portion and the output values generated by that circuit portion are not altered.

Figure 7 illustrates a linear feedback shift register of the type which may be used to generate pseudo random clock signal. These clock signals can be provided to the random clock dating circuit 72 of Figure 5. Separate pseudo random signal generators may be provided for the different circuit portions. The fixed clock frequency associated with the different pseudo random generators may be altered so as to match the characteristics of the circuit portion concerned and further obscure the masking operation as required.

Figure 8 schematically illustrates the control of an enable signal for a circuit portion. At step 88 a determination is made as to whether or not an enable signal en

has been received from the instruction decoder 68. If such an enable signal has been received, then processing passes to step 90. An enable signal from the instruction decoder 68 indicates that required processing operation is necessary in accordance with a genuine program instruction being decoded. Thus, step 90 enables the input, output and clock signal to the circuit portion concerned. If at step 88 no enable signal is received from the instruction decoder, then processing passes to step 92 where a determination is made as to whether or not dummy operation of that circuit portion is permitted. If dummy operation is permitted, then processing proceeds to step 94 at which the inputs and clock to the circuit portion are enabled, but the outputs from the circuit portion are not enabled. The circuit portion then undertakes dummy activity. If the determination at step 92 was that dummy operation was not permitted, as indicated by the system configuration parameter(s), then processing terminates by passing to step 94.

It will be appreciated that the process illustrated in Figure 8 is in the form of a sequential flow diagram. In practice, this control may be performed in a different sequence and use circuit elements spread throughout the data processing system 52. The operations illustrated as being sequentially performed may in fact be performed in parallel or the control functions modified. At an overall level an individual circuit portion will be enabled to perform its normal required operation in response to an appropriate program instruction and will be enabled to perform dummy activity when permitted by the associated configuration parameter.

Figure 9 schematically illustrates a register bank 96. This register bank is based upon the ARM processor programmer's model for user mode operation in accordance with processors designed by ARM Limited, Cambridge, England). In practice, further registers may be provided for other processor modes, but these have been omitted for the sake of clarity. The normal data registers R0 to R15 are provided for holding data values. The registers R13, R14 and R15 typically serve to store the program counter value, the branch return address value and the stack pointer, which tend to be none security related data values. Accordingly, transition balancing upon data writes is not necessary for R13, R14 and R15. A trash register RT is provided within the register bank 96 for use in association with conditional writes which fail their condition codes thus, a conditional write instruction which fails its condition code would not normally make any write. However, with this system such a failed conditional write instruction nevertheless writes a data value to the trash data register

RT even though the condition codes have failed. This masks any difference in power consumption or timing that might be associated with condition code failure or condition code passing of a conditional write operation. The trash data register RT does not appear in the programmer's model in a way that enables it to be addressed with a register specifying operand within an instruction.

As well as the trash data register RT, further registers 98, 100 are also provided for the purpose of balancing the high to low and low to high transitions. Dedicated dummy registers 98 are provided in respect of the data registers R0 to R12 as well as the trash data register RT. Shared dummy registers 100 are provided for storing an exclusive OR value as well as the inverse of the exclusive OR value in response to each write to a data register subject to the transition balancing technique. A register write control circuit 102 serves to generate the appropriate data values to be written to the further registers 98, 100 in response to a data value write to a data register. This symmetric write control is selectively enabled and disabled by an appropriate system configuring controlling flag signal from the system configuring coprocessor 72.

Figure 10 is a flow diagram schematically illustrating the operation of the register write control circuit 102. At step 104 the circuit waits for a register write operation to be instructed. Step 106 determines whether this register write is to one of the data registers or the trash data registers RT for which the symmetric write control system is applied. If the register write is not to such a register, then processing proceeds to step 108 and a simple write of the required data value X is made to one of the registers R13, R14 and R15.

If the register to which the write is being made is potentially subject to symmetric register writing then step 110 serves to determine whether or not this feature is currently enabled. If this feature is not currently enabled, then processing proceeds to step 108. If this feature is enabled, then processing proceeds to step 112.

At step 112, the register controls circuit calculates for each bit position within the data value a value being the inverse exclusive OR of the current bit being written at that position and the previously stored bit at that position which is then exclusive Ored with the previously stored dummy register value for that bit position (see Figure 11). The register control circuit 102 also calculates the inverse of the determination as well the inverse of the bit being written as the data value to the data register. These values are calculated for all of the bits being written (e.g. 3 dummy 32-bit values).

At step 114 the data value is written to the data register in a similar manner to step 108. At step 116 the three further values determined for each bit position within the registers concerned are written to the three further registers. Steps 114 and 116 take place simultaneously. As will be discussed in relation to Figure 11, this results in a balance number of high to low and low to high transitions and thus power consumed.

Figure 11 illustrates a table of possible bit values before and after a data write operation. The data value is being written to a register  $R_n$ , which is a register to which the symmetric write operation function is applied. The values at time  $t$  and time  $t+1$  are illustrated. The inverse of these values is simply determined. Each of the data registers subject to this symmetric operation is provided with a dedicated dummy register 98 which stores the inverse of the data value currently held in the data register.

The shared dummy registers 100 are indicated in Figure 11 as registers  $R_d$ . For each bit position on within the shared dummy register  $R_d$  the new value to be written at that bit position when a data write occurs is determined by the function shown at the bottom of Figure 11. This function ensures that when a change does not occur in the data value and the inverse of the data value, then a change is guaranteed to occur in the corresponding bit within the shared dummy register and accordingly its inverse. The table shows the changes in the shared dummy register values which occur when the data value does not change and the shared values in the dummy register values not changing when the data value does change. Thus, there is a guaranteed fixed number of transitions for every write, i.e. a balanced equal number of transitions high to low and low to high.

Figure 13 is a flow diagram illustrating the action of the dummy data register RD to provide writes when a write operation fails its condition code(s). At step 118 the control logic waits for an instruction to be received. This control logic may be the instruction decoder 68 or other logic. Step 120 determines whether or not the instruction failed its condition codes. If the instruction does not fail its condition code, then it is normally executed at step 122 and makes its write to the register specified by the register operand within that instruction. If the instruction does fail its condition codes, then processing proceeds to step 124 at which a determination is made as to whether or not dummy data register writes are enabled. If these are not enabled, then processing terminates. If dummy data register writes are enabled, then



processing proceeds to step 126 at which a write of the data value calculated by the condition code failed instruction is written to the trash data register RT even though the condition codes failed. This balances the power consumption and timing irrespective of a condition code pass or a condition code fail. It will be appreciated that the trash data register RT is also subject to the transition balancing mechanisms previously discussed.

Figure 13 illustrates a data processing system 128 in which multiple instruction execution mechanisms are provided for at least some instructions. The data processing system 128 is one which supports the native execution of at least some Java bytecode instructions. This type of data processing system and native execution is described in published PCT Patent Application Number WO-A-02/29555. The disclosure of this published application as a whole and in respect of the native hardware execution and selective software emulation of more complex Java bytecodes in particular is incorporated here in by reference.

The Java bytecode decoder 130 may be selectively enabled and disabled by an input signal. When the Java bytecode decoder 130 is disabled a received Java bytecode will trigger an exception which starts execution of software emulation code for handling Java bytecodes using the native ARM Thumb instruction sets. This support code is stored within memory in area 132 as illustrated. The Java bytecode program 134 is also stored within memory. When it is desired to obscure the nature of the Java program execution, the Java bytecode decoder 130 may be subject to a pseudo random signal which selectively enables and disables this element so as to effectively switch the instruction execution mechanism for the Java bytecodes between a mixed hardware and emulation execution mechanism and a purely emulation mechanism. Configuration controlling values within a system configuration register 136 specify whether or not the Java decoder 130 is present and whether or not random enabling and disabling of this Java decoder 130 is permitted.

Figure 14 schematically illustrates the handling of a received Java bytecode. At step 138 a Java bytecode is received. Step 140 determines whether or not the Java decoder 130 is enabled. The pseudo random enabling and disabling of the Java decoder 130 effectively causes a branch to either step 142 at which the bytecode is always emulated or an attempt to execute the instruction in hardware at step 146. This obscures/masks the power signature associated with Java bytecode execution. If the determination at step 146 is that the particular Java bytecode concerned is not

supported by the Java decoder 130, then this Java bytecode will also be emulated in software at step 142. However, if the Java bytecode is supported in hardware, then it is executed in hardware at step 146.

## CLAIMS

- 5 1. Apparatus for processing data, said apparatus comprising:  
a result data value generating circuit operable to generate a result data value  
upon execution of a data processing operation; and  
a data processing register to which said result data value is written; wherein  
at least one data processing operation executed by said result data generating  
10 circuit is a conditional write data processing operation for which a result data value is  
not written to said data processing register when non-write conditions are met; and  
further comprising  
a trash register to which a result data value may be written upon execution of  
said conditional write data processing operation when said non-write conditions are  
15 met.
2. Apparatus as claimed in claim 1, comprising a register bank having a plurality  
of data registers to which result data values are written.
- 20 3. Apparatus as claimed in any one of claims 1 and 2, wherein said result data  
generating circuit is part of a processor core responsive to data processing instructions  
specifying data processing operations to be performed.
4. Apparatus as claimed in claim 3, wherein said data processing instructions  
25 include one or more conditional data processing instructions and said processor core  
does not executed conditional data processing instructions when predetermined  
conditions are detected.
5. Apparatus as claimed in claim 4, wherein if a conditional data processing  
30 instruction includes a write operation and said predetermined conditions are detected,  
then said processor core performs a write operation to said trash register.
6. Apparatus as claimed in any one of the preceding claims, wherein writing to  
said trash register is programmably disabled by a trash register control signal.

7. Apparatus as claimed in claim 6, wherein said trash register control signal is stored in a system configuration register.

5 8. Apparatus as claimed in claim 2, wherein said trash register is part of said register bank, said trash register being unmapped to a register number such that said trash register may not be specified by a register specifying operand value.

9. A method of processing data, said method comprising the steps of:

10 generating a result data value upon execution of a data processing operation, at least one data processing operation executed being a conditional write data processing operation, wherein

a result data value is not written to a data processing register when non-write conditions are met but is instead written to a trash register.

15 10. A method as claimed in claim 9, wherein said data processing register is part of a register bank having a plurality of data registers to which result data values are written.

20 11. A method as claimed in any one of claims 9 and 10, wherein said method is performed by of a processor core responsive to data processing instructions specifying data processing operations to be performed.

25 12. A method as claimed in claim 11, wherein said data processing instructions include one or more conditional data processing instructions and said processor core does not executed conditional data processing instructions when predetermined conditions are detected.

30 13. A method as claimed in claim 12, wherein if a conditional data processing instruction includes a write operation and said predetermined conditions are detected, then said processor core performs a write operation to said trash register.

14. A method as claimed in any one of claims 9 to 13, wherein writing to said trash register is programmable disabled by a trash register control signal.

15. A method as claimed in claim 14, wherein said trash register control signal is stored in a system configuration register.
- 5 16. A method as claimed in claim 10, wherein said dummy register is part of said register bank, said trash register being unmapped to a register number such that said trash register may not be specified by a register specifying operand value.

## ABSTRACT

### PROCESSING ACTIVITY MASKING IN A DATA PROCESSING SYSTEM

5        Within a data processing systems supporting conditional write processing  
operations, a trash register is provided such that when non-write conditions are  
encountered a register write is made to the trash register rather than the data register  
specified by the conditional write operation. Thus the power signature associated  
with whether or not a register write does or does not occur is masked. The trash  
10    register activity may be programmable enabled and disabled by a configuration  
parameter stored within a system configuration register.

Figure 12

# Conditional Branch in Fixed Timing Mode

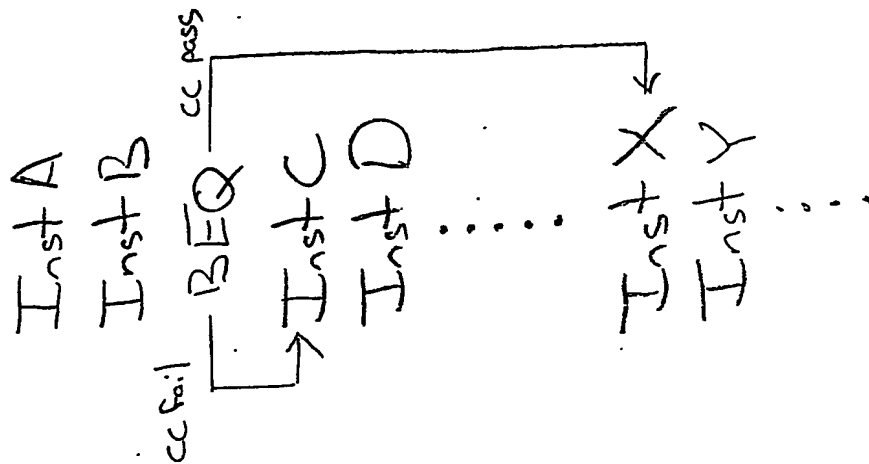


Fig: 4

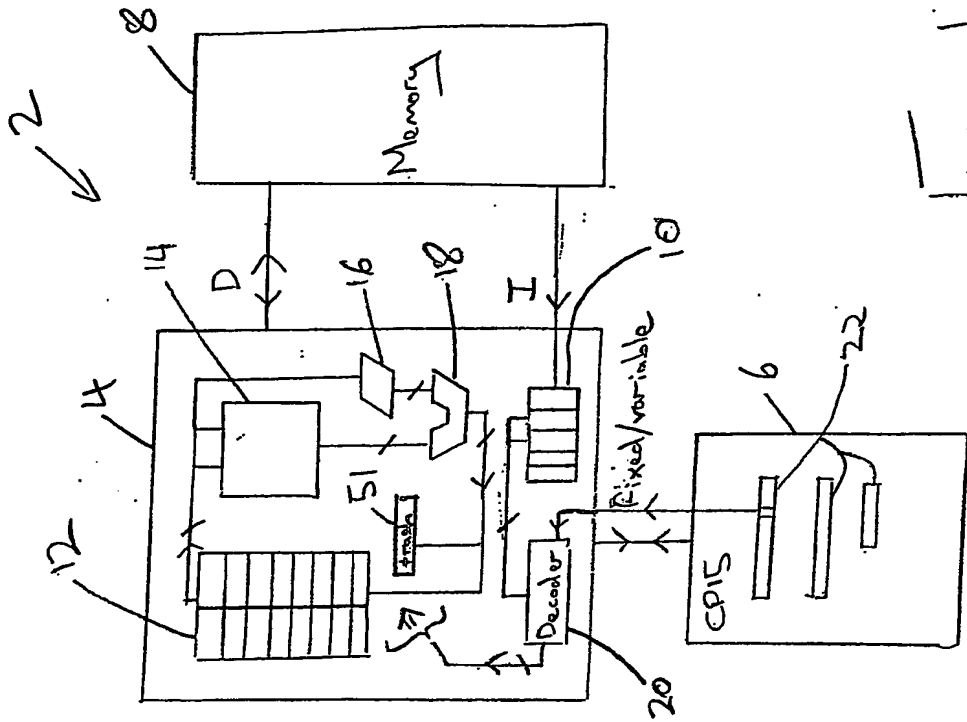
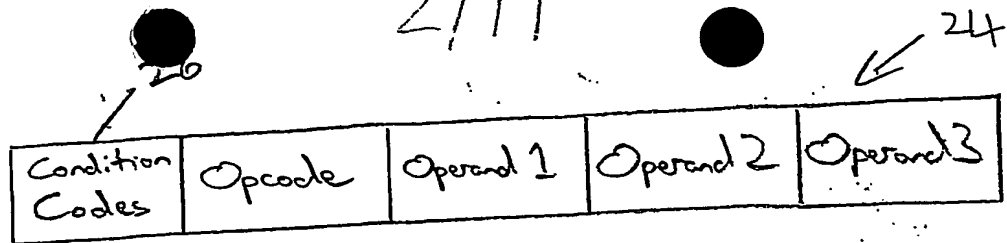


Fig: 1

2/11



e.g. don't execute if:  
zero,  
carry,  
overflow, etc



compare with condition codes from previous execution

Fig. 2

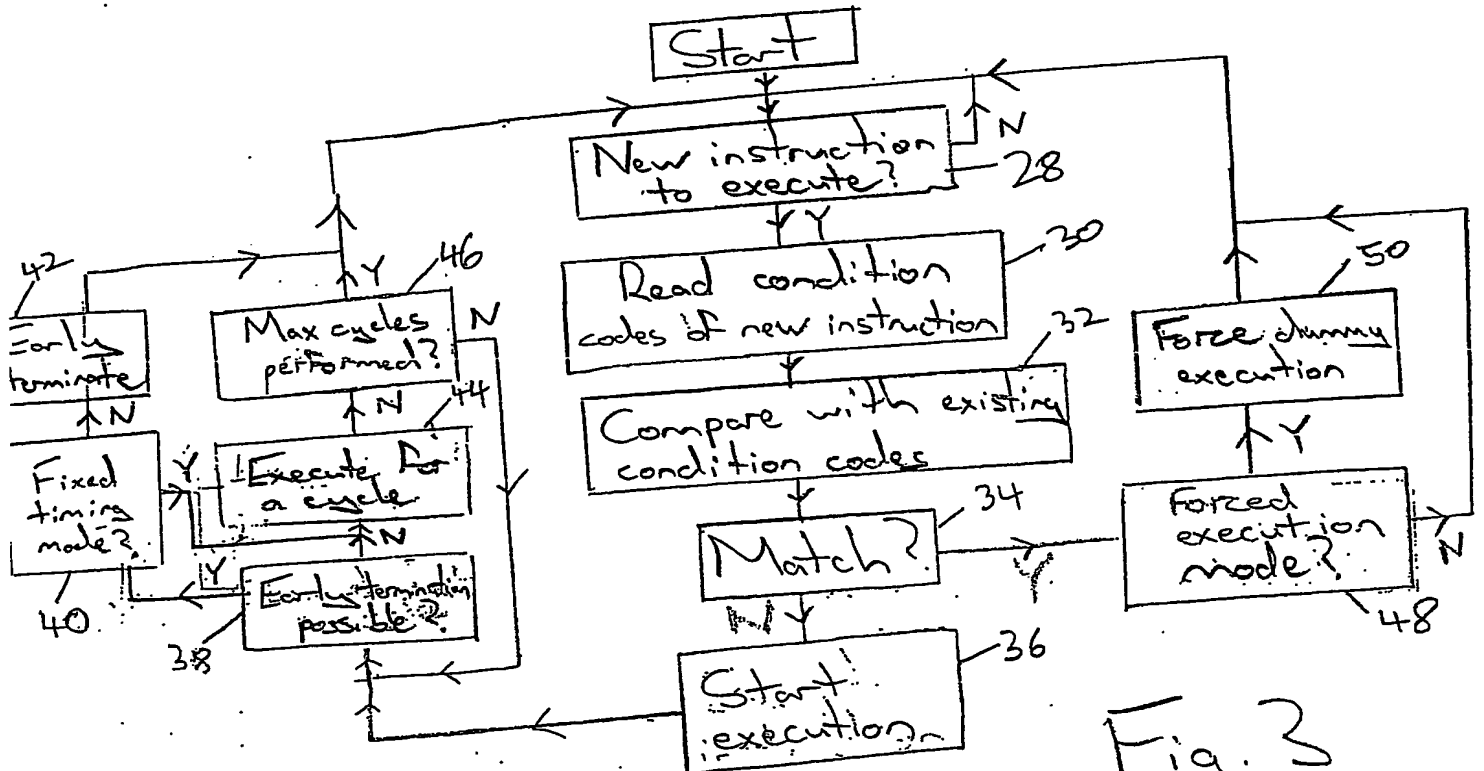


Fig. 3



3/11

5

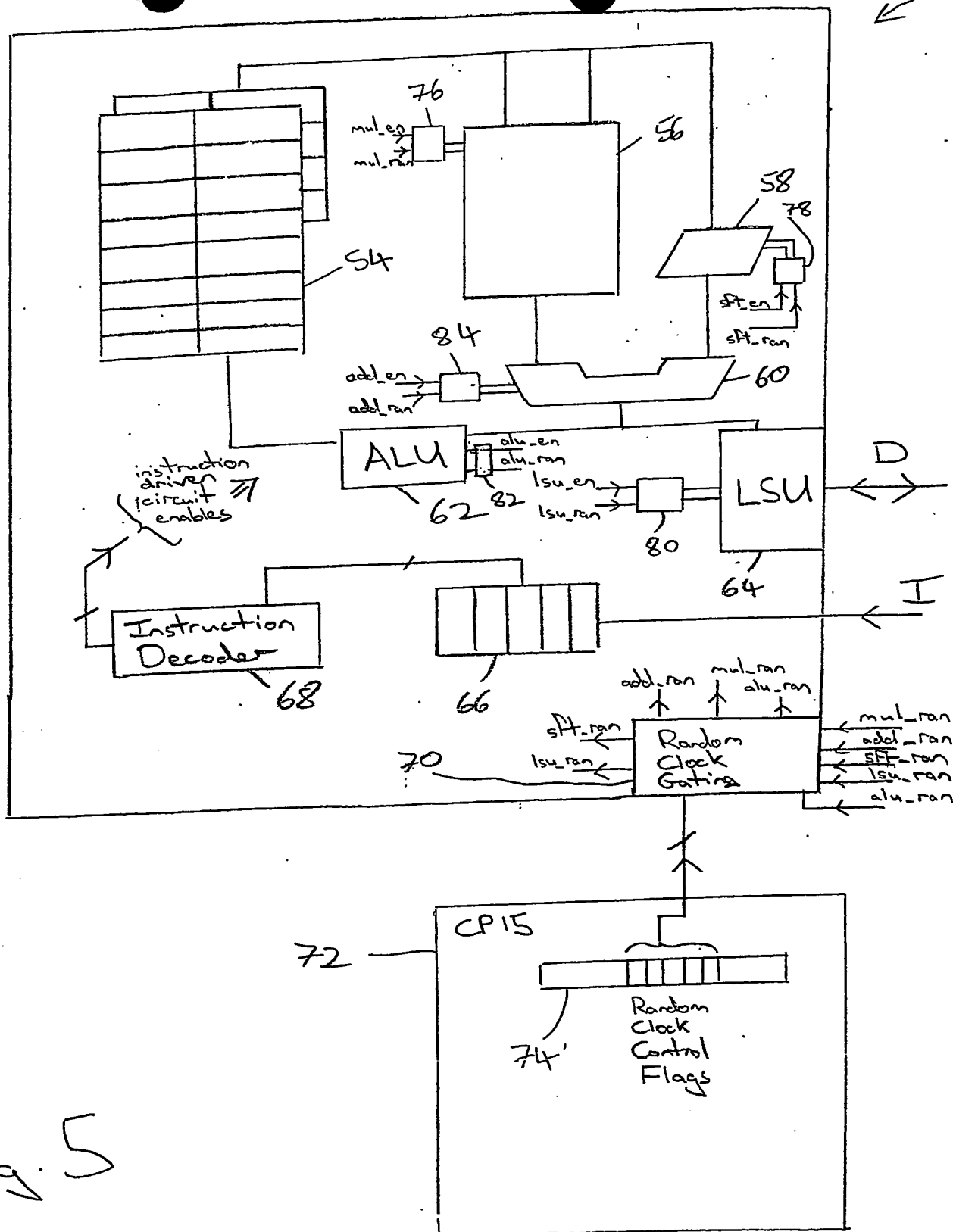
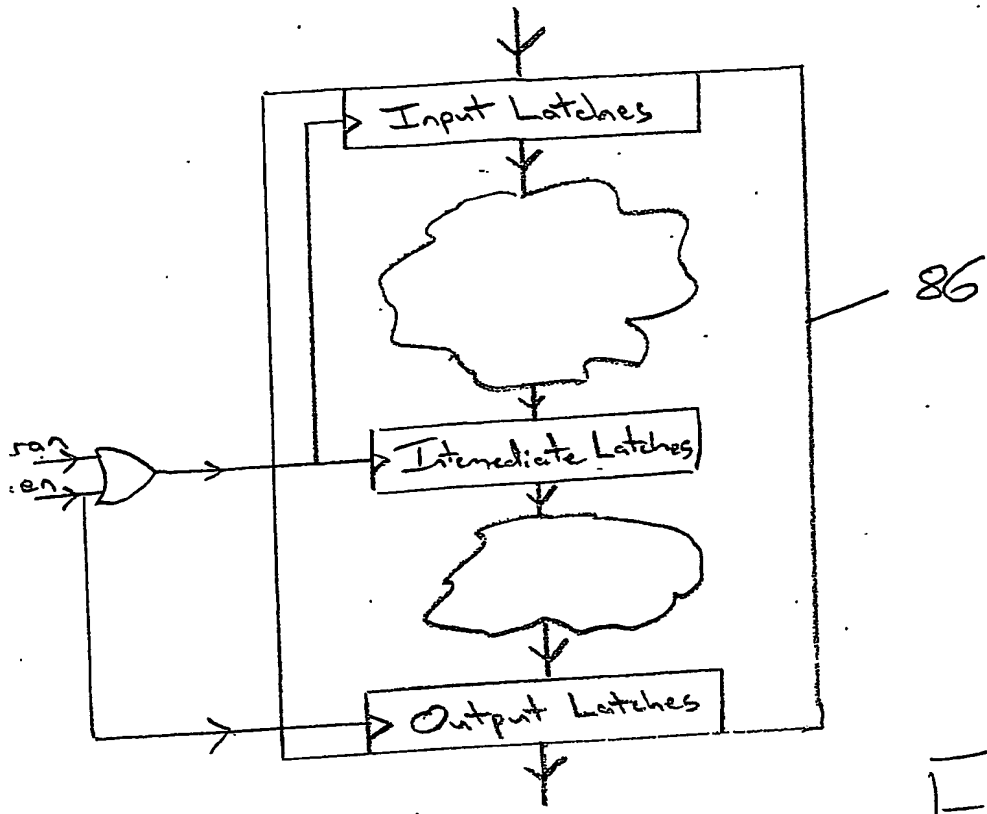


Fig. 5

4/11



86

Fig. 6

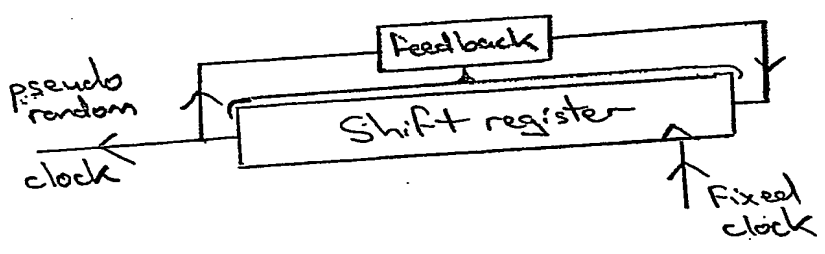


Fig. 7

5/11

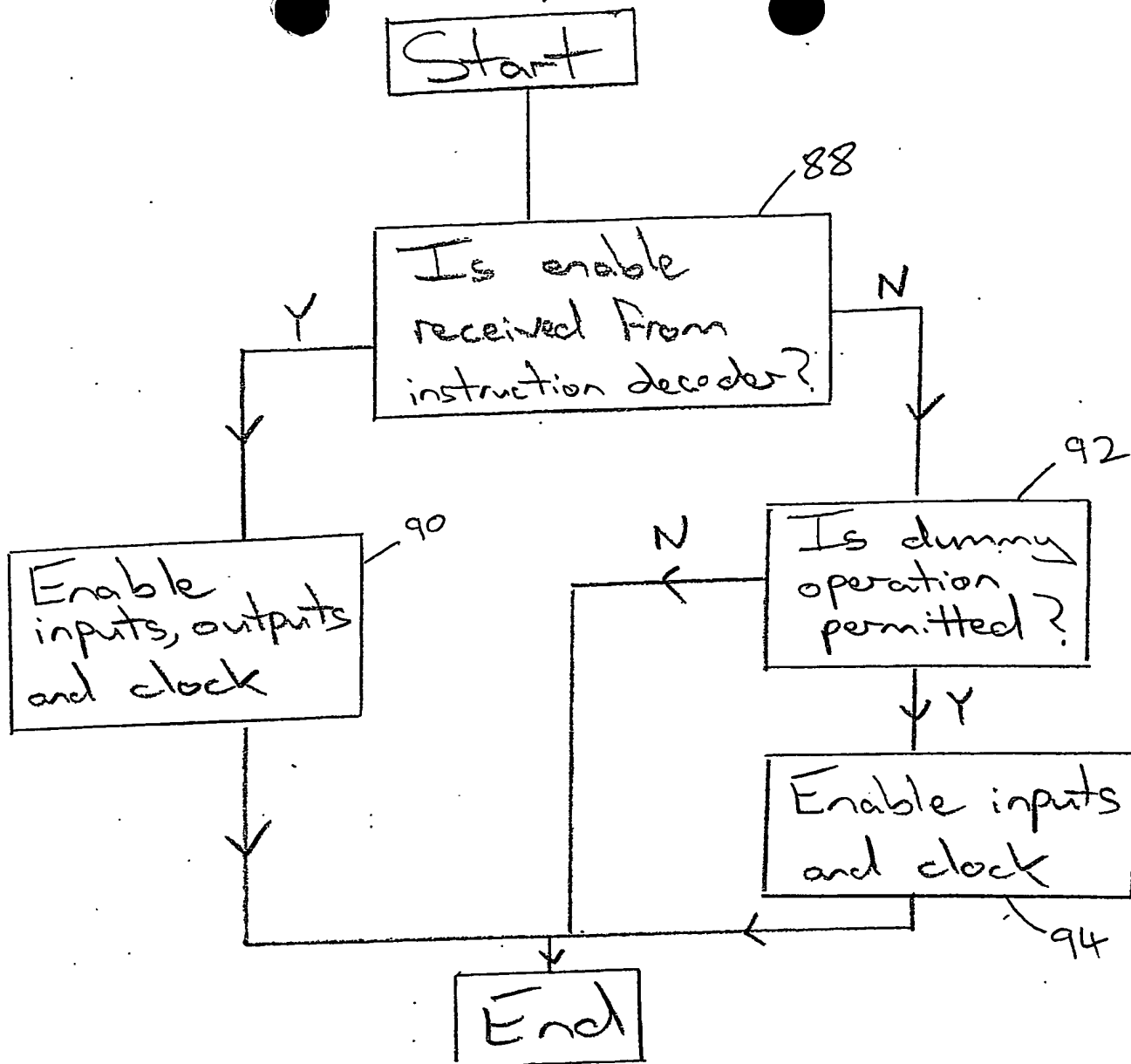


Fig. 8

6/11

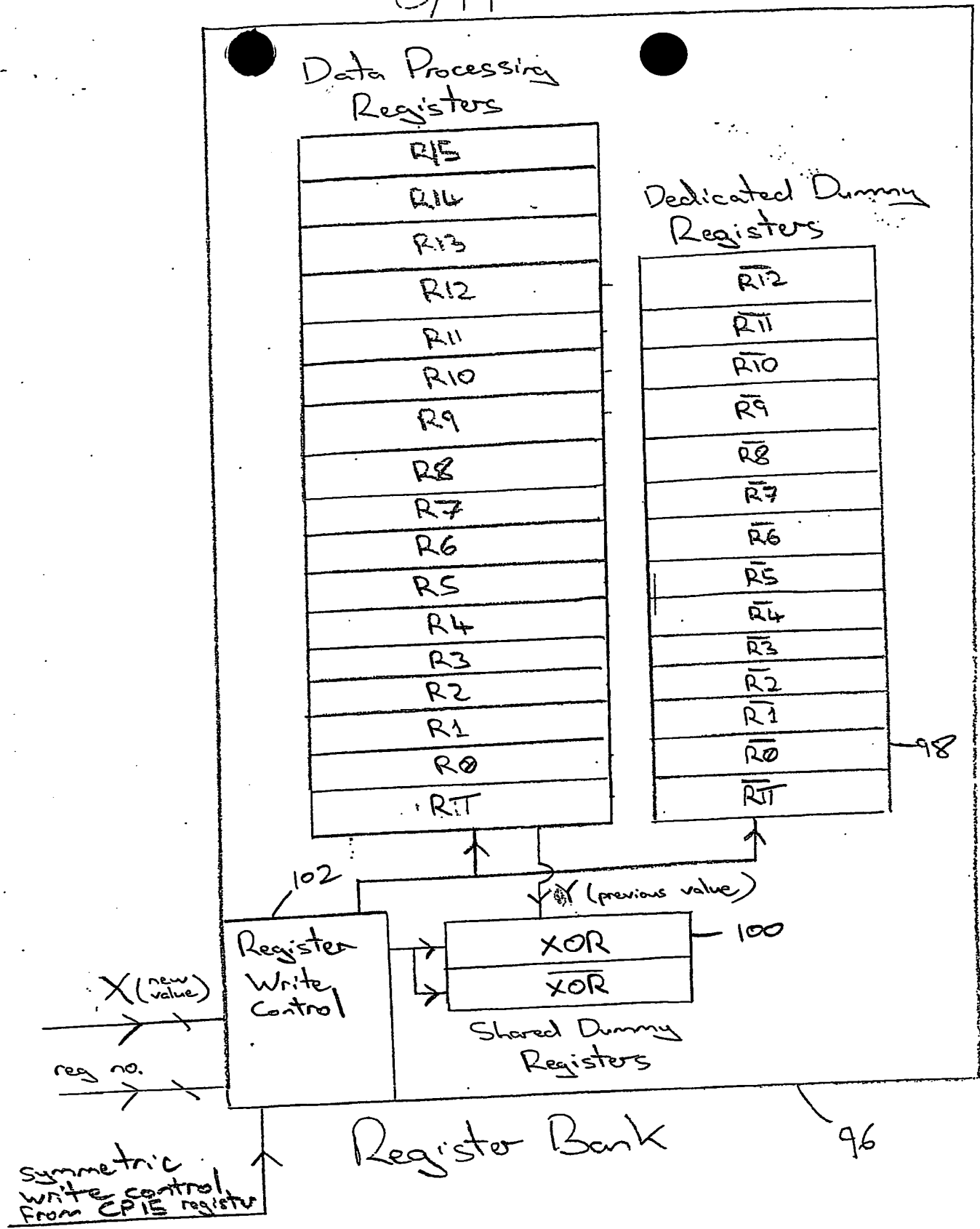


Fig. 9

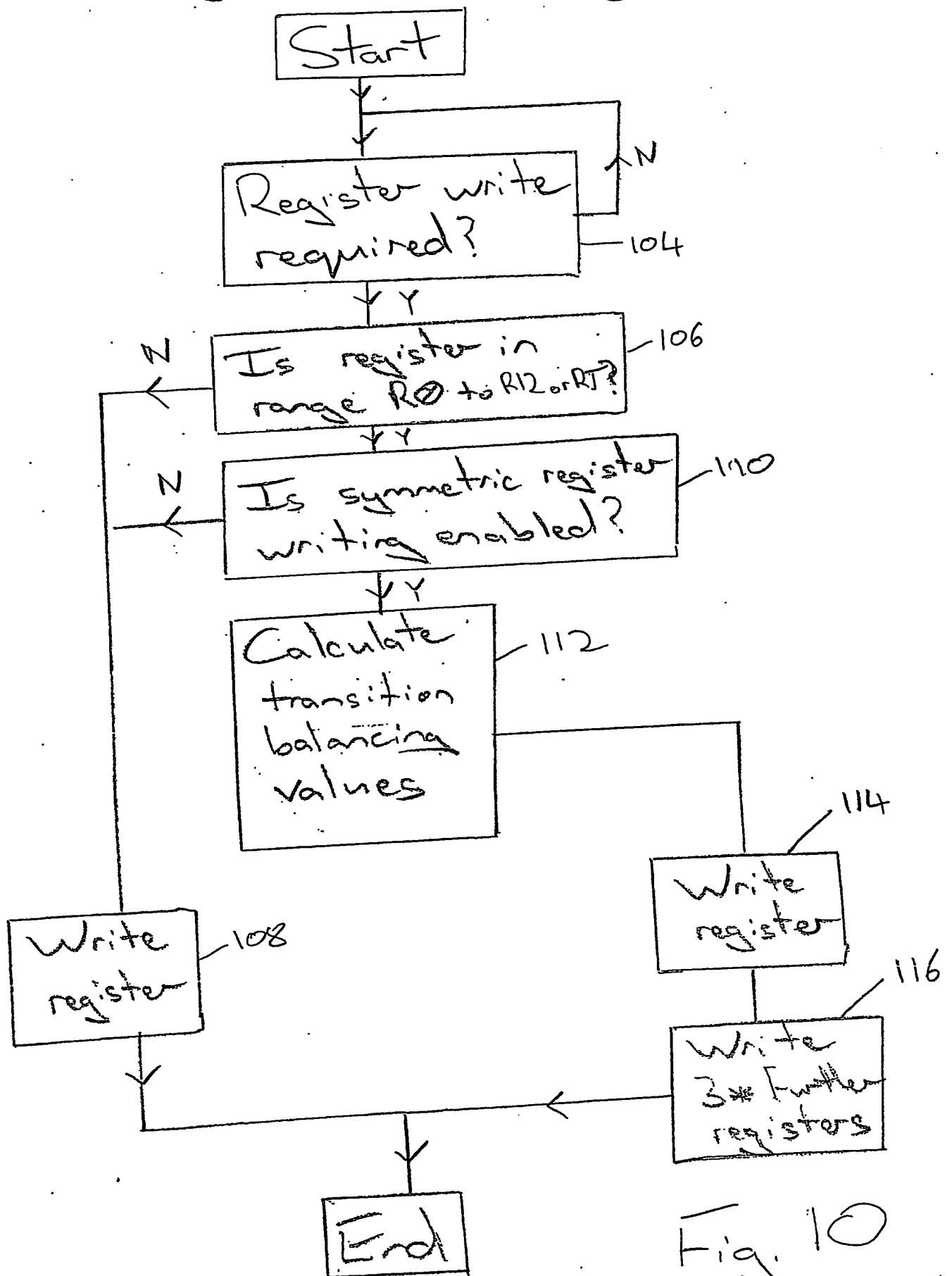


Fig. 10

8/11

$R_n[t]$	$R_n[t+1]$	$\overline{R_n[t]}$ $\overline{R_n[t+1]}$		$R_d[t]$	$R_d[t+1]$	$\overline{R_d[t]}$ $\overline{R_d[t+1]}$		Transitions $\overline{R_n} \overline{R_d}$ $\overline{R_n} R_d$ $R_n \overline{R_d}$ $R_n R_d$
		$\overline{R_n[t]}$	$\overline{R_n[t+1]}$			$\overline{R_d[t]}$	$\overline{R_d[t+1]}$	
0	0	1	1	0	0	1	1	- - $\uparrow \downarrow$
0	0	1	1	1	0	0	1	- - $\uparrow \downarrow$
0	1	1	0	0	0	1	1	$\uparrow \downarrow$ - -
0	1	1	0	1	0	0	0	$\uparrow \downarrow$ - -
1	0	0	1	0	0	1	1	$\downarrow \uparrow$ - -
1	0	0	1	1	0	0	0	$\downarrow \uparrow$ - -
1	1	0	0	0	0	1	1	- - $\uparrow \downarrow$
1	1	0	0	1	0	0	1	- - $\uparrow \downarrow$

$$R_d[t+1] = (\overline{R_n[t]} \text{ XOR } R_n[t+1]) \text{ XOR } R_d[t]$$

11

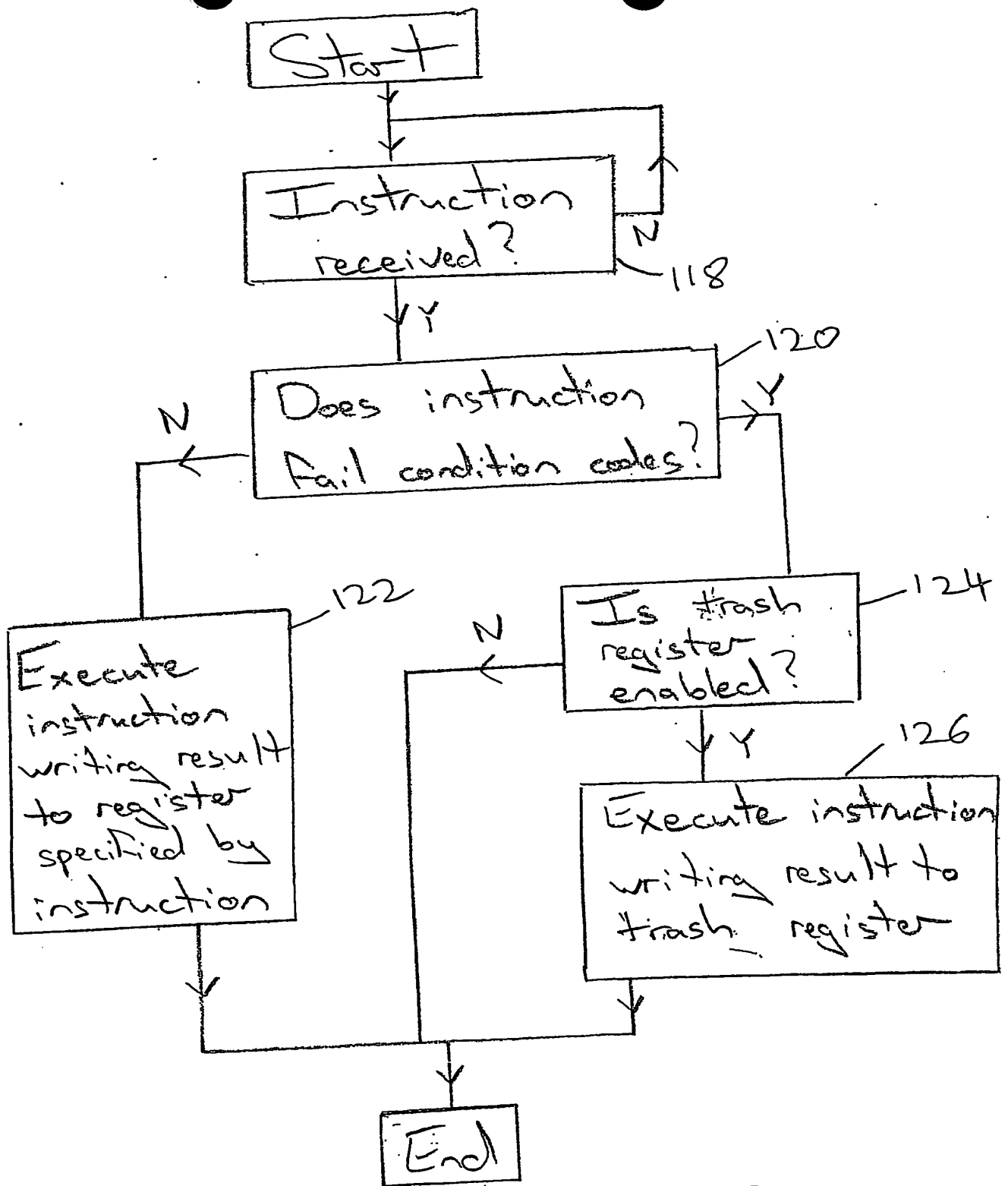


Fig. 12

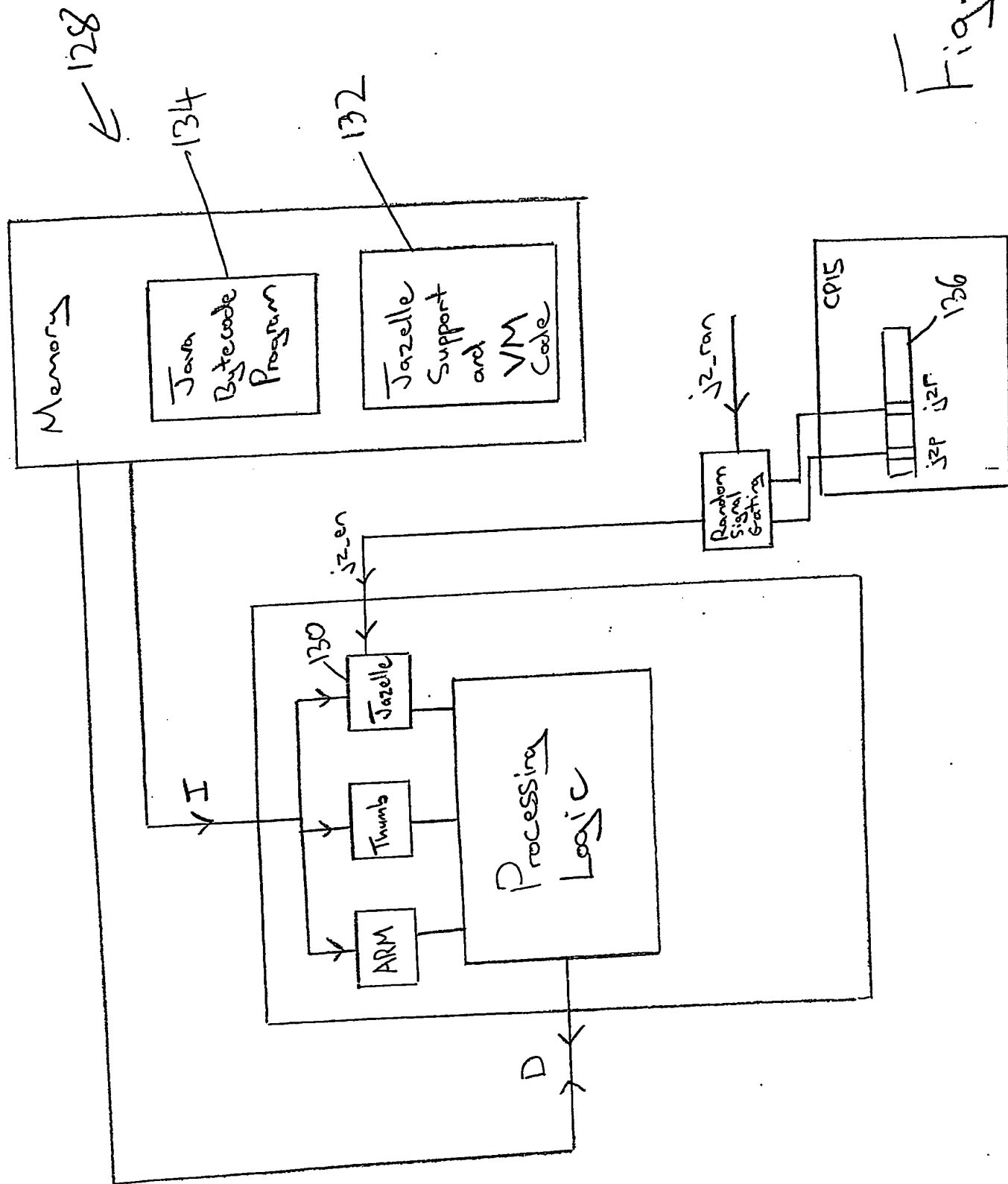


Fig. 13



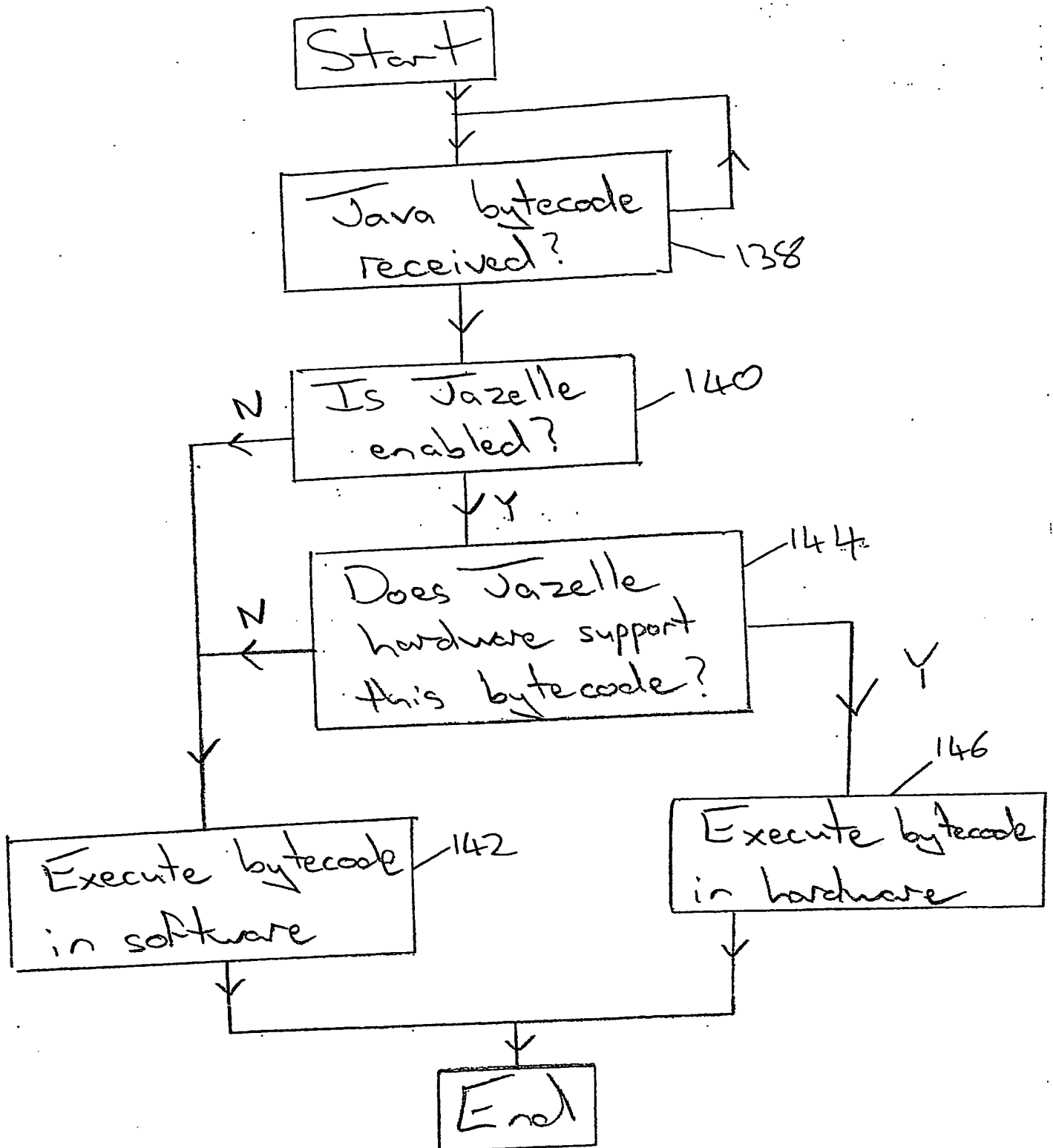


Fig. 14

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☒ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**